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Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

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**Complete If Known**

Application Number	10/735866
Filing Date	12/16/2003
First Named Inventor	HAAS, Hansjoerg
Art Unit	3637
Examiner Name	NOT YET SPECIFIED

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

**Examiner  
Signature**

K - TEAM

Date Considered

01/07/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08a (05-03)

Approved for use through 05/31/2003, OMB 0651-0031

Approved for use through 06/30/2008. GPO 2007-088  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	10/735,866
		Filing Date	December 16, 2003
		First Named Inventor	HAAS, Hansjoerg
		Art Unit	3637
		Examiner Name	Not Yet Assigned
(Use as many sheets as necessary)			
Sheet	1	of	2
		Attorney Docket Number	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>4</sup> (If Known)			T <sup>5</sup>
KT	JP 55156107 A		12-04-1980	MASAO	
KT	WO 0216844 A		02-28-2002	FELDER, ET AL.	

Examiner Signature	K. TRAV	Date Considered	01/07/05
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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/735,866
				Filing Date	December 16, 2003
				First Named Inventor	HAAS, Hansjoerg
				Art Unit	3637
				Examiner Name	Not Yet Assigned
(Use as many sheets as necessary)				Attorney Docket Number	T00158-0019-US
Sheet	2	of	2		

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
KT		LARSON, David D, et al. "STORAGETEK 4400 AUTOMATED CARTRIDGE SYSTEM." In Digest of Papers: Eighth IEEE Symposium on Mass Storage Systems,	
		May 11, 1987, Pp. 112-117, XP000042645, paragraph "Library Storage Module",	
		Figures 3-5.	

Examiner Signature	K - TRAV	Date Considered	01/07/05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is requested to obtain or retain a benefit which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

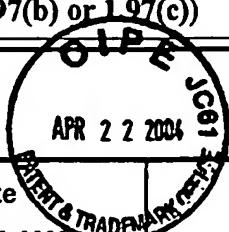
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
T00158-0019-US

In Re Application Of:

HAAS, Hansjoerg



Serial No.	Filing Date	Examiner	Group Art Unit
10/735,866	December 16, 2003	Not yet specified	3637

Title: ROBOTIC CAROUSEL WORKSTATION

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

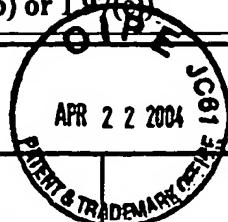
OR

the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**T00158-0019-US**

In Re Application:  
**HAAS, Hansjoerg**



Serial No.	Filing Date	Examiner	Group Art Unit
10/735,866	December 16, 2003	Not yet specified	3637

**ROBOTIC CAROUSEL WORKSTATION**

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John R.S. Orange (29,725)

McCarthy Tetrault LLP

Suite 4700, P.O. Box 48

66 Wellington St. W.

Toronto Dominion Bank Tower

Toronto, ON M5K 1E6 Canada

Tel: 416 362-1812 Fax: 416 601-8454

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Dated:

19 April 2004

ATTORNEY DOCKET NO.: **T00158-0019-US**  
CUSTOMER NUMBER: **000027155**

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

In re patent application of:

**HAAS, Hansjoerg**

Serial No.: **10/735,866** Group Art Unit: **3637**  
Filed: **December 16, 2003** Examiner: **NOT YET SPECIFIED**  
Title: **ROBOTIC CAROUSEL WORKSTATION**

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith excluding U.S. patent references.

U.S. patent references are not enclosed pursuant to the Office's waiver (published in the Official Gazette - August 5, 2003) of such requirement for all U.S. national patent applications and for all international applications that have entered the national stage under 35 USC 371 filed after June 30, 2003.

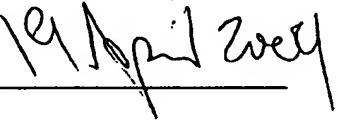
The filing of this IDS shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material for patentability, or that no other material information exists. This filing shall not be construed as an admission against interest in any matter.

This IDS is submitted pursuant to 37 CFR §1.97(b) and, accordingly, no fee is believed to be due for consideration of the documents submitted herewith.

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

Date: 19 April 2001

Respectfully submitted,

  
John R.S. Orange  
Registration No. 29,725  
Agent for Applicant

McCarthy Tétrault  
Suite 4700, P.O. Box 48  
Toronto Dominion Bank Tower  
Toronto, Ontario M5K 1E6, Canada

Tel: 416 362-1812  
Fax: 416 601-8454



IFW

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ATTORNEY DOCKET NO.: **T00158-0019-US**  
CUSTOMER NUMBER: **000027871**

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

In re patent application of:

**HAAS, Hansjoerg**

Serial No.: **10/735,866** Group Art Unit: **3637**  
Filed: **December 16, 2003** Examiner: **Not Yet Assigned**  
Title: **ROBOTIC CAROUSEL WORKSTATION**

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith excluding U.S. patent references.

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This IDS is being submitted pursuant to 37 CFR 1.97(c) prior to the issuance of a final action or a Notice of Allowance. Accordingly, pursuant to 37 CFR 1.97(c)(2), please debit our Deposit Account Number 02-2553 in payment of the fee prescribed under 37 CFR 1.17(p). In the event of any discrepancy in the required fees, please debit or credit our Deposit Account Number 02-2553 accordingly and advise us immediately.

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

Respectfully submitted,

Date: 6 Aug 02

John R.S. Orange  
Registration No. 29,725  
Agent for Applicant

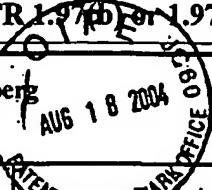
BLAKE, CASSELS & GRAYDON LLP  
Suite 4700, P.O. Box 48  
Toronto Dominion Bank Tower  
Toronto, Ontario M5K 1E6, Canada

Tel 416-863-3164  
Fax 416-863-2653

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
T00158-0019-US

In Re Application Of: HAAS, Hansberg



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/735,866	December 16, 2003	Not yet assigned	27871	3637	5460

Title: ROBOTIC CAROUSEL WORKSTATION

Address to:  
Commissioner for Patents  
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Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

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- the statement specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**T00158-0019-US**

In Re Application: **HAAS, Hansjoerg**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/735,866	December 16, 2003	Not yet assigned	27871	3637	5460

**ROBOTIC CAROUSEL WORKSTATION**

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 John R.S. Orange (29,725)  
 Blake, Cassels & Graydon LLP  
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 Toronto, Ontario M5L 1A9  
 Canada

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